

Greylock Peak Investments LLC
December 3, 2025

FORM CRS

Greylock Peak Investments LLC is registered with the Securities and Exchange Commission as a soliciting/referring investment adviser; however, our services are currently limited to acting solely as a solicitor for other registered investment advisers. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. Free and simple tools are available to you to research firms and financial professionals at Investor.gov/CRS. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We provide solicitor services only. This means we refer you to third-party registered investment advisers ("RIAs") with whom we maintain referral relationships. We do not provide investment advice, recommend specific securities, provide ongoing monitoring of your account, provide portfolio management or financial planning or monitor or review the advisory services you receive from the third-party RIA. Such services are provided by the RIAs to which we refer you. Although we do not impose a minimum account size, the third-party money RIAs to whom you are referred may impose minimum account sizes for participation in their advisory services. Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Items 4, 7, and 8 via the following link: <https://adviserinfo.sec.gov/firm/brochure/158941>.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will the RIA you refer me to choose investments for me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

What fees will I pay?

We receive referral fees from the RIAs to whom we refer clients. The RIA we refer you to pays us a referral fee, which may create an incentive to recommend RIAs that pay higher fees or maintain referral arrangements with us. For detailed information, refer to our Form ADV Part 2A, Items 5 and 6 via the following link: <https://adviserinfo.sec.gov/firm/brochure/158941>.

Common fees and costs you may pay to the third-party RIA include:

- Advisory/management fees;
- Custodian fees;
- Account maintenance fees;
- Mutual fund and ETF expenses;
- Transaction charges; and
- Other product-level fees

You will pay fees and costs whether you make or lose money on your investments.

Key Questions to Ask Your Financial Professional

- **Help me understand how fees and costs might affect my investments. If I give an RIA \$10,000 to invest, how much will go to fees and costs, and how much will be invested?**

What are your legal obligations to me? What conflicts of interest do you have?

We do not act as your investment adviser, **and therefore do not provide fiduciary investment advice. Our role is limited to referring you to third-party investment advisers.**

Because we receive referral fees, we have a financial incentive to:

- Refer you to RIAs with whom we have referral arrangements; and
- Refer you to RIAs that may pay us higher compensation.

You should understand these conflicts because they may affect which RIA we recommend.

Refer to our Form ADV Part 2A via the following link: <https://adviserinfo.sec.gov/firm/brochure/158941> to help you understand what conflicts exist.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Our financial professionals are compensated **based on the firm's net profits**, which are affected by referral fees we receive. **This means they may have an incentive to refer you to advisers with whom we maintain referral arrangements.**

Do you or your financial professionals have legal or disciplinary history?

No. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information:

For more information about our services, review our Form ADV brochure at:
<https://adviserinfo.sec.gov/firm/brochure/158941>

To request a copy of this Form CRS, call **408-398-9944**.

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**