

## SOLICITOR DISCLOSURE STATEMENT

### Introduction:

This document is intended to ensure you understand and agree to the following:

- You have been referred to **New Harbor Financial Group, LLC** (“New Harbor”) because you or an immediate family member expressed interest in such a referral via GreylockPeak.com or another website/application operated by or affiliated with Greylock Peak Investments, LLC (“Greylock”).
- **Greylock**, an investment advisory firm which is affiliated with PeakProsperity.com, refers potential clients to **New Harbor**.
- For this referral, **Greylock** will receive compensation from **New Harbor** if you ultimately open an account with them.
  - **New Harbor** will share with **Greylock** a percentage of the annual advisory fees charged to your account.
  - This arrangement will **NOT** cause you to incur higher charges than if you had not been referred by **Greylock**.
  - This same arrangement will apply to any members of your immediate family that you introduce to **New Harbor** and that subsequently open accounts with **New Harbor**. Any such family members will be asked to sign a disclosure statement identical to or substantively similar to this document.
- **Greylock** is an 'unaffiliated solicitor,' meaning it remains independent of the relationship you enter into with **New Harbor**. **Greylock** has no involvement in **New Harbor's** investment decisions or the management of your account with **New Harbor** if you choose to open an account with them. Greylock is not liable for how your **New Harbor** account is serviced or how the assets within your account with **New Harbor** perform.

Please read and sign the ensuing pages to confirm your understanding and agreement to these terms.

**Agreement:**

The purpose of this Disclosure Statement is to comply with the requirements of Rule 206(4)-3 under the Investment Advisers Act of 1940, as amended (the “Advisers Act”) and applicable state securities laws (the “State Laws”) (the Advisers Act and the State Laws shall collectively be referred to as the “Securities Laws”) and to inform you, the **Client**, that Greylock Peak Investments, LLC (“**Greylock**”), currently serves as an unaffiliated solicitor for New Harbor Financial Group, LLC (“**New Harbor**”), an investment advisor registered with the Securities and Exchange Commission (the “SEC”).

**Greylock** is acting as a **Solicitor** in introducing the **Client** to **New Harbor’s** investment advisory services (the “Services”) for which **New Harbor Financial** is the investment advisor. **Greylock** is compensated to introduce the **Client** to **New Harbor’s** services, distribute to the **Client** all required disclosures, and obtain all appropriate **Client** signatures.

**Greylock** is not authorized to, does not, and will not provide any investment advice on behalf of **New Harbor** or regarding **New Harbor’s** services or the **Client’s** account with **New Harbor**.

**Greylock** does not have any authority to accept investment advisory agreements on behalf of **New Harbor** or to collect or receive any payments due and owing to **New Harbor** relating to any investment advisory agreement **Client** may enter into with **New Harbor**. All Investment Advisory Agreements are subject to acceptance by **New Harbor** in **New Harbor’s** sole discretion.

**Client** hereby acknowledges receipt of a copy of Form ADV Part II, or similar disclosure document containing at least the information required in Form ADV Part II, for **New Harbor**. **Client** understands and acknowledges that **Greylock’s** role is limited exclusively to that of a solicitor and that **Greylock** does not give, has not given, and will not give any investment advice to **Client** at all.

**Client** will pay **New Harbor** an advisory fee pursuant to a separate agreement to be entered into between **New Harbor** and the **Client**. Pursuant to an agreement between **Greylock** and **New Harbor**, **New Harbor** will pay to **Greylock** a fee based upon the amount of assets invested by **Client** through **New Harbor**. Specifically, the amount of the asset-based service fee to be paid by **New Harbor** to **Greylock** will be 30-35% of the total annual advisory fee paid by **Client** to **New Harbor** depending on the satisfaction of certain conditions detailed in the current agreement between **Greylock** and **New Harbor**.

The annual advisory fee paid by **Client** to **New Harbor** each year shall be no higher than if **Client** had not been referred to **New Harbor** by **Greylock**.

It is the intent of the parties that any members of your immediate family that you refer to **New Harbor**, and who subsequently open accounts with **New Harbor**, will sign a disclosure statement identical to or substantively similar to this document.

The compensation paid to **Greylock** by **New Harbor** is solely for the solicitation services for referring **Client** to **New Harbor** and also covers any expenses **Greylock** may incur for these efforts. The solicitation services include making any introductions and providing information and material about the advisory services and programs of **New Harbor** to **Client**.

In order to effectively administer this solicitation arrangement, **Greylock** and **New Harbor** will need to disclose basic information regarding **Client** to each other. In addition to the information **Greylock** has already provided to **New Harbor** with **Client**'s consent from the initial screening interview, **New Harbor** will periodically provide **Greylock** basic information about converted clients and prospective clients related to this solicitation agreement. This information will include, at a household level, the client/household name, total assets managed by **New Harbor** for each household (or likely potential assets for active prospects), the total fee charged by **New Harbor** (in dollar and/or percentage terms), and in the case of prospective clients, the status of converting such prospects to active clients of **New Harbor**. In the course of working with clients, **New Harbor** will obtain additional information of a sensitive and confidential nature (including but not limited to social security numbers, dates of birth, etc.), but **New Harbor** will not disclose this additional information to **Greylock** in order to protect the confidentiality of this information. By signing below, you agree to the sharing of information between **Greylock** and **New Harbor** as described above.

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**CLIENT** Name Printed

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**CLIENT** Signature

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**JOINT CLIENT** Name Printed

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**JOINT CLIENT** Signature

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Date